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WASHINGTON, D.C.

FROM THE DESK OF:

Shoaib Mithani  
(214) 855-4630

RECIPIENT  
U.S. Patent and  
Trademark Office

COMPANY

FAX NO.

PHONE NO.

571/273-8300

Examiner Kim Cho

571/272-7585

• MESSAGE •

Please see attached Declaration package for filing as requested by Examiner Kim Cho regarding:

Serial No. 09/932,491

Filing Date: August 17, 2001

Our Docket No. 33726-00020 United States Patent and Trademark Office

Thank you.

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Mailed: November 15 2001

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Re: Applicant(s): Phua, et al.

For: METHOD AND APPARATUS FOR THE MODULATION OF MULTILEVEL DATA  
ARRAYS

Filing Date: August 17, 2001

Serial No. 09/932,491

Docket No.: 33726-00020

The following documents were received in the PTO on the date stamped below:

1. PTO Transmittal Letter (in duplicate);
2. Response to File Missing Parts
3. Copy of Notice to File Missing Parts of Nonprovisional Application
4. Declaration (signed)
5. Check in the amount of \$130.00 for filing fee of missing parts
6. Acknowledgment postcard.



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Rc: Applicant(s): Phua, et al.

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Steven R. Greenfield  
(214) 855-4789  
sgreenfield@jenkens.comCommissioner for Patents  
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(713) 951-3300LOS ANGELES, CALIFORNIA  
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on 11/15/01Signature: *Carol M. Marshall*

Re: Applicant(s): Cheng Chiang Phua et al.  
Serial No. 09/932,491  
Filing Date: August 17, 2001  
For: Method and Apparatus for the Modulation of Multilevel Data Arrays  
Docket No.: 33726-00020USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for  
the above-referenced patent application:

1. Response to Notice of Missing Parts of Application
2. Copy of Notice of Missing Parts of Application
3. Oath or Declaration (signed)
4. Check in the amount of \$130
6. Acknowledgment Postcard

Please address all communications related to this to:

Steven R. Greenfield  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

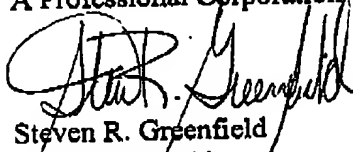
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Page 2

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Respectfully submitted,

JENKENS & GILCHRIST,  
A Professional Corporation

  
Steven R. Greenfield  
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Dallas, Texas 75202-2799  
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PATENT APPLICATION  
Docket No. 33726-20USPT  
TW/PSC/D.9902/013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

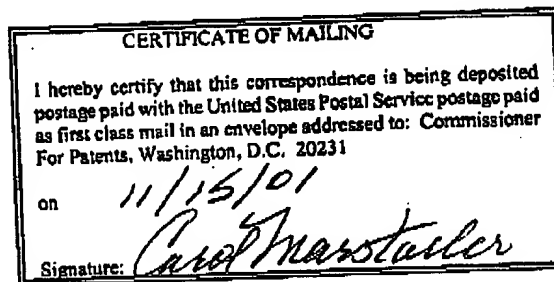
In re patent application of: Cheng Chiang Phua et al.

Serial No.: 09/932,491

Filed: 8/17/01

For: Method and Apparatus for the Modulation of Multilevel Data Arrays

Commissioner for Patents  
Washington DC 20231



Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts mailed by the Application Branch on September 21, 2001, you will find enclosed a Declaration and Power of Attorney duly executed by the inventor(s) on September 9, 2001.

A check in the amount of \$130 is enclosed for the surcharge as set forth in 37 CFR 1.16(e).

It is respectfully submitted that no additional parts are required to be filed with regard to the above-referenced application, and the application should therefore be processed accordingly.

Respectfully submitted,

JENKENS & GILCHRIST,  
A Professional Corporation

*Steven R. Greenfield*  
Steven R. Greenfield  
Reg. No. 38,166

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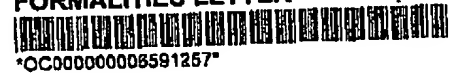
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,491	08/17/2001	Cheng Chiang Phua	33726-00020USPT

JENKENS & GILCHRIST  
3200 Fountain Place  
1445 Ross Avenue  
Dallas, TX 75202-2799

CONFIRMATION NO. 5213

FORMALITIES LETTER



\*OC000000008591257\*

Date Mailed: 09/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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